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Atty. Dkt. No. 073406-0701

**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Jerry Pelletier, et al.

Title: COMPOSITIONS AND METHODS  
INVOLVING AN ESSENTIAL  
STAPHYLOCOCCUS AUREUS  
GENE AND ITS ENCODED  
PROTEIN STAAU\_R9

Appl. No.: 10/025,222

Filing Date: December 19, 2001

Examiner: To Be Determined

Art Unit: To Be Determined

<b>CERTIFICATE OF MAILING</b> I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, Washington, D.C. 20231, on the date below  <u>Deborah L. Wykes</u> (Printed Name)  <u>Deborah L. Wykes</u> (Signature)  <u>May 22, 2002</u> (Date of Deposit)
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**TRANSMITTAL OF MISSING PARTS  
OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on March 15, 2002 in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- [ X ] Amendment (6 pages)
- [ X ] Assignment (4 pages)
- [ X ] Declaration and Power of Attorney (4 pages)
- [ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [ X ] Sequence Listing and Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1/825 (22 pages)
- [ X ] Diskette containing Sequence Listing (1 diskette)

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- ☒ Request for Extension of Time to File Response (2 pages)
- ☒ Drawings (24 pages)
- ☒ Check in the amount of \$1,401.00 in payment of basic filing fee, excess claim fee, assignment, and surcharge fee (37 C.F.R. § 1.16(e))
- ☒ Check in the amount of \$55.00 in payment of first extension fee (37 C.F.R. § 1.17(a)(1))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 50-0872. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 50-0872.

Respectfully submitted,

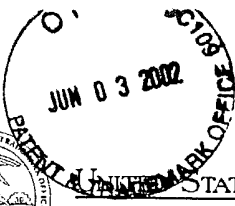
Date 22 May 2002

FOLEY & LARDNER  
P.O. Box 80278  
San Diego, CA 92038-0278  
Telephone: 858/847-6714  
Facsimile: 858/792-6773

By Wesley B. Ames

Wesley B. Ames  
Attorney for Applicant  
Registration No. 40,893

#3



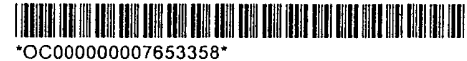
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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/025,222	12/19/2001	Pelletier	073406-0701

CONFIRMATION NO. 4998

## FORMALITIES LETTER



\*OC000000007653358\*

Wesley B Ames  
FOLEY & LARDNER  
P.O. Box 80278  
San Diego, CA 92138-0278

Date Mailed: 03/15/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1852.
  - \$648 for 36 total claims over 20.
  - \$924 for 11 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2722.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

06/05/2002 WAB/RAH/1 00000080 10025222

01 FC:201	370.00	OP
02 FC:203	324.00	OP
03 FC:202	462.00	OP
04 FC:204	140.00	OP
05 FC:205	65.00	OP

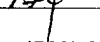
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE